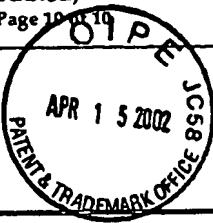


#4

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10				Attorney Docket No.: 003801.P038		Application Number: 09/772,347	
				First Named Inventor: Jennifer Pearson, et al.			
				Filing Date: January 29, 2001			
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
BA		6,104,815		Alcorn et al.	08-15-2000		
BA		6,202,051		Woolston	07-13-2001		
BD		6,266,651		Woolston	07-24-2001		
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					Translation <sup>3</sup>
BA		BUSINESS EDITORS, "Mediappraise: Mediappraise Receives National Award for Web-Based Technology That Enables Companies to Solve Thorny HR Problems," 12/14/98, 2 pgs., <a href="http://www.businesswire.com">www.businesswire.com</a> .					
BA		BUSINESS EDITORS/COMPUTER WRITERS, "ONSALE: ONSALE Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet Retail Service Debuts With Week-Long Charity Auction For The Computer Museum in Boston," 5/24/95, 3 pgs., <a href="http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267">http://www.dialogweb.com/cgi/dwclient?dwcommand=DWEBPRINT%20810-489267</a>					
BD		MEADE, J., "Visual 360: A Performance Appraisal System That's 'Fun' (Evaluation)," HRMagazine, 44, 7, 2 pgs., July 1999, Society for Human Resource Management.					
BA		PREIST, C., et al., "Adaptive Agents in a Persistent Shout Double Auction," Proceedings, 1 <sup>st</sup> International Conf. on Information and Computation Economies, October 25-28, 1998, pgs. 11-18, Charleston, N.C.					
BD		RESNICK, P., et al., "Reputation Systems," December 2000, Assoc. for Computing Machinery, Communications of the ACM, vol. 43, n. 12, 4 pgs.					
Examiner Signature	Branda H/L				Date Considered	10-13-04	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED  
APR 17 2002  
Technology Center 2100  
9-6-7

Please type a plus sign (+) inside this box 

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Complete if Known	
		Application Number	09/772,347
		Filing Date	January 29, 2001
		First Named Inventor	Jennifer Pearson, et al.
		Group Art Unit	Unassigned
Examiner Name	Unassigned	RECEIVED APR 17 2002 Technology Center 2100	
Attorney Docket Number	003801.P038		
Sheet	1	of	

### U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
BA	3,581,072	Nymayer	5/25/1971	3/28/1968	
BU	3,573,747	Adams, et al.	4/6/1971	2/24/1969	
BU	4,412,287	Braddock, III	10/25/1983	9/15/1982	
BA	4,674,044	Kalmus, et al.	4/16/1987	1/30/1985	
BA	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984	
BA	4,789,928	Fujisaki	12/6/1988	1/30/1987	
BA	4,799,156	Shavit, et al.	1/17/1989	10/1/1986	
BA	4,823,265	Nelson	4/18/1989	5/11/1987	
BA	4,903,201	Wagner	2/20/1990	11/3/1983	
BA	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990	
BA	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989	
BA	5,101,353	Lupien, et al.	3/31/1992	5/31/1989	

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
BA	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
BA	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
BA	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

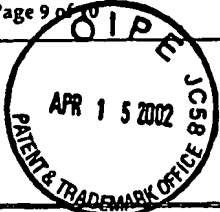
### OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
BA	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
BA	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
BA	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
BA	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>Brandon N. A.</i>	Date Considered	10-13-01
--------------------	----------------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#4

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 9 of 10				Attorney Docket No.: 003801.P038		Application Number: 09/772,347	
				First Named Inventor: Jennifer Pearson, et al.			
				Filing Date: January 29, 2001			
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
B1A		5,799,285		Klingman	08-25-1998		
B1A		5,803,500		Mossberg	09-08-1998		
B1D		5,845,265		Woolston	12-01-1998		
B1A		5,872,848		Romney et al.	02-16-1999		
B1A		5,873,069		Reuhl et al.	02-16-1999		
B1A		5,884,056		Steele	03-16-1999		
B1A		5,890,138		Godin et al.	03-30-1999		
B1A		5,905,974		Fraser et al.	05-18-1999		
B1A		5,905,975		Ausubel	05-18-1999		
B1A		5,922,074		Richard et al.	07-13-1999		
B1D		5,926,794		Fethe	07-20-1999		
B1A		5,991,739		Cupps et al.	11-23-1999		
B1D		6,035,288		Solomon	03-07-2000		
B1D		6,035,402		Vaeth et al.	03-07-2000		
B1A		6,044,363		Mori et al.	03-28-2000		
B1D		6,055,518		Franklin et al.	04-25-2000		
B1A		6,058,417		Hess et al.	05-02-2000		
B1A		6,073,117		Oyanagi et al.	06-06-2000		
B1A		6,085,176		Woolston	07-04-2000		
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					Translation <sup>2</sup>
Examiner Signature	<i>Brandon Ruff</i>				Date Considered	10-13-04	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED  
APR 17 2002  
Technology Center 2100

LR  
9-6-7